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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NEW UTILITY PATENT APPLICATION TRANSMITTAL <small>(only for new nonprovisional applications under 37 CFR 1.53(b))</small>		<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 50%;">Attorney Docket Number</td><td>20423-08297</td></tr><tr><td>First Named Inventor</td><td>Ray Soon Waldin, Jr.</td></tr><tr><td>Title</td><td>Multi-Tiered Incremental Software Updating</td></tr><tr><td>Express Mail Label No.</td><td>EV 334259282 US</td></tr></table>	Attorney Docket Number	20423-08297	First Named Inventor	Ray Soon Waldin, Jr.	Title	Multi-Tiered Incremental Software Updating	Express Mail Label No.	EV 334259282 US
Attorney Docket Number	20423-08297									
First Named Inventor	Ray Soon Waldin, Jr.									
Title	Multi-Tiered Incremental Software Updating									
Express Mail Label No.	EV 334259282 US									
APPLICATION ELEMENTS <div style="margin-top: 10px;">1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)</div> <div style="margin-top: 10px;">2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</div> <div style="margin-top: 10px;">3. <input checked="" type="checkbox"/> Specification Total Pages 35 <small>(preferred arrangement set forth below)</small><ul style="list-style-type: none"><input checked="" type="checkbox"/> Descriptive Title of the Invention<input checked="" type="checkbox"/> Cross Reference(s) to Related Case(s)<input checked="" type="checkbox"/> Statement Regarding Fed sponsored R & D<input checked="" type="checkbox"/> Background of the Invention<input checked="" type="checkbox"/> Brief Summary of the Invention<input checked="" type="checkbox"/> Brief Description of the Drawing(s)<input checked="" type="checkbox"/> Detailed Description<input checked="" type="checkbox"/> Claim or Claims<input checked="" type="checkbox"/> Abstract of the Disclosure</div> <div style="margin-top: 10px;">4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 7</div> <div style="margin-top: 10px;">5. Oath or Declaration<ul style="list-style-type: none">a. <input type="checkbox"/> New Declaration Total Pages 3 <div style="margin-left: 20px;"><input type="checkbox"/> Executed (original or copy)</div>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small><ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></div> <div style="margin-top: 10px;">6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</div>		ACCOMPANYING APPLICATION PARTS <div style="margin-top: 10px;">7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</div> <div style="margin-top: 10px;">8. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</div> <div style="margin-top: 10px;">9. <input type="checkbox"/> Power of Attorney or Authorization of Agent</div> <div style="margin-top: 10px;">10. <input type="checkbox"/> 37 CFR 3.73(b) Statement</div> <div style="margin-top: 10px;">11. <input type="checkbox"/> Preliminary Amendment</div> <div style="margin-top: 10px;">12. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <div style="margin-left: 20px;"><input type="checkbox"/> Copies of IDS Citation(s)</div></div> <div style="margin-top: 10px;">13. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent</div> <div style="margin-top: 10px;">14. <input checked="" type="checkbox"/> Return Postcard</div> <div style="margin-top: 10px;">15. <input type="checkbox"/> _____</div> <div style="margin-top: 10px;">16. <input type="checkbox"/> _____</div> <div style="margin-top: 10px;">17. <input type="checkbox"/> _____</div>								
<div style="margin-top: 10px;">18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76: <div style="margin-left: 20px;"><input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: <u>09/469,582</u></div><div style="margin-left: 20px;">Prior application information: Examiner: <u>Mary J. Steelman</u> Group/Art Unit: <u>2122</u></div><div style="margin-left: 20px;">For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</div></div>		<div style="margin-top: 10px;">ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Arlington, VA 22313-1450</div>								
19. CORRESPONDENCE ADDRESS <div style="margin-top: 20px; font-size: 2em; font-weight: bold;">34415</div> <div style="margin-top: 10px;"><input checked="" type="checkbox"/> Customer Number</div>										
Name (Print/Type)	Edward J. Radlo	Registration No. (Attorney/Agent)	26,793							
Signature		Date	Oct-8, 2003							

20423/08297/DOCS/1382618.1



FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1832.00

Complete if Known

Application Number	not yet known
Filing Date	October 8, 2003
First Named Inventor	Ray Soon Waldin, Jr.
Examiner Name	not yet known
Art Unit	not yet known
Attorney Docket No.	20423-08297

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account:

Deposit Account Number 19-2555

Deposit Account Name Fenwick & West LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	770
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 770

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
36	-20** = 16	18	288
Independent Claims	12	-3** = 9	86
Multiple Dependent			

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 1062

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

SUBTOTAL (3) (\$) 0

SUBMITTED BY

Name (Print/Type)

Edward J. Radlo

Registration No.
(Attorney/Agent)

26,793

Complete (if applicable)

Telephone (650) 335-7142

Signature

Edward J. Radlo

Date

Oct 8, 2003

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Ray Soon Waldin, Jr.
Title	Multi-Tiered Incremental Software Updating
Atty Docket Number	20423-08297

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Oct 8, 2003

Date

Edward J. Radlo

Signature

Edward J. Radlo, Reg. #26,793

Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.